

**Listing of Claims:**

The following listing of claims replaces all prior versions, and listings, of claims in the application:

Claim 1 (previously presented): A formulation comprising:

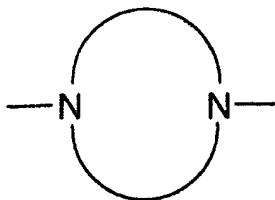
- a) at least one nitrogen-free polysiloxane compound,
- b) at least one polyamino- and/or polyammonium-polysiloxane compound  
b1) which is selected from polysiloxane compounds which contain at least one unit of the formula (I):

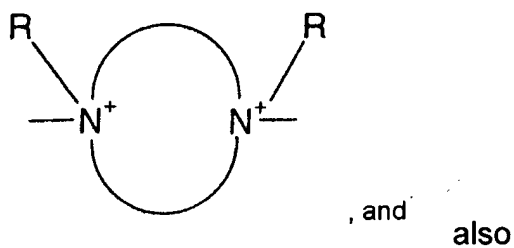
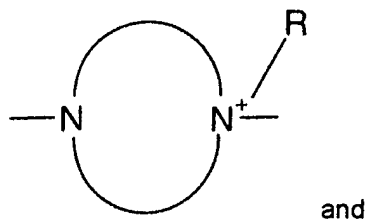


in which Q is selected from the group consisting of:

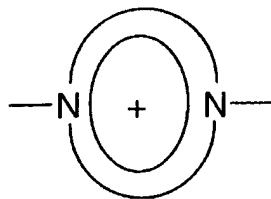


a saturated or unsaturated diamino-functional heterocycle of the formulae:

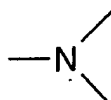




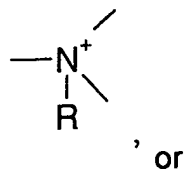
an aromatic diamino-functional heterocycle of the formula:



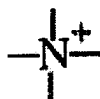
a trivalent radical of the formula:



a trivalent radical of the formula



a tetravalent radical of the formula



in which R in each case is hydrogen or a monovalent organic radical,

where Q is not bonded to a carbonyl carbon atom,

V is at least one constituent which is selected from the group consisting of  $V^1$ ,  $V^2$  and  $V^3$ , where

$V^2$  is selected from divalent or trivalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radicals having up to 1000 carbon atoms (not counting the carbon atoms of the polysiloxane radical  $Z^2$  defined below) and may optionally contain one or more groups selected from

-O-, -CONH-,

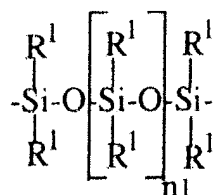
-CONR<sup>2</sup>-, in which R<sup>2</sup> is hydrogen, a monovalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radical having up to 100 carbon atoms, may contain one or more groups selected from -O-, -NH-, -C(O)- and -C(S)-, and may optionally be substituted by one or more substituents selected from the group consisting of a hydroxyl group, an optionally substituted heterocyclic group preferably containing one or more nitrogen atoms, amino, alkylamino, dialkylamino, ammonium, polyether radicals and polyether ester radicals, where, when a plurality of -

CONR<sup>2</sup>- groups is present, they may be the same or different,

-C(O)- and -C(S)-, and

the radical V<sup>2</sup> may optionally be substituted by one or more hydroxyl groups, and

the radical V<sup>2</sup> contains at least one group -Z<sup>2</sup>- of the formula



in which

R<sup>1</sup> may be the same or different and is selected from the group consisting of: C<sub>1</sub> to C<sub>22</sub> alkyl, fluoro(C<sub>1</sub>-C<sub>10</sub>)alkyl and C<sub>6</sub>-C<sub>10</sub> aryl, and

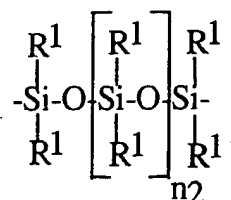
n<sub>1</sub> = 20 to 1000,

V<sup>1</sup> is selected from divalent, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radicals which have up to 1000 carbon atoms and may optionally contain one or more groups selected from

-O-, -CONH-,

-CONR<sup>2</sup>-, in which R<sup>2</sup> is as defined above, where the R<sup>2</sup> groups in the V<sup>1</sup> and V<sup>2</sup> groups may be the same or different,

-C(O)-, -C(S)- and -Z<sup>1</sup>-, where -Z<sup>1</sup>- is a group of the formula



in which

R<sup>1</sup> is as defined above, where the R<sup>1</sup> groups in the groups V<sup>1</sup> and V<sup>2</sup> groups may be the same or different, and  
n<sub>2</sub> = 0 to 19,

and the radical V<sup>1</sup> may if desired be substituted by one or more hydroxyl groups,

V<sup>3</sup> is a trivalent or higher-valency, straight-chain, cyclic or branched, saturated, unsaturated or aromatic hydrocarbon radical which has up to 1000 carbon atoms, may optionally contain one or more groups selected from

-O-, -CONH-, -CONR<sup>2</sup>-, in which R<sup>2</sup> is as defined above, -C(O)-, -C(S)-, -Z<sup>1</sup>- which is as defined above, -Z<sup>2</sup>- which is as defined above and Z<sup>3</sup>, where Z<sup>3</sup> is a trivalent or higher-valency organopolysiloxane unit, and

may optionally be substituted by one or more hydroxyl groups,

where, in said polysiloxane compound, in each case one or more  $V^1$  groups, one or more  $V^2$  groups and/or one or more  $V^3$  groups may be present,

with the proviso

- that said polysiloxane compound contains a plurality of  $V^2$  groups,
- that said polysiloxane compound contains at least one  $V^1$ ,  $V^2$  or  $V^3$  group which contains at least one  $-Z^1-$ ,  $-Z^2-$  or  $Z^3$  group, and
- that the tri- and tetravalent Q radicals either serve to branch the main chain formed from Q and V, so that the valencies which do not serve for bonding in the main chain bear further branches formed from  $-[Q-V]-$  units, or the tri- and tetravalent Q radicals are saturated with  $V^3$  radicals within a linear main chain without formation of a branch,

and wherein the positive charges resulting from ammonium groups are neutralized by organic or inorganic acid anions, and acid addition salts thereof,

and optionally at least one amino- and/or ammonium-polysiloxane compound b2)

- c) optionally one or more silicone-free surfactants,
- d) optionally one or more coacervate phase formation agents,
- e) optionally one or more carrier substances.

Claim 2 (original): The formulation as claimed in claim 1, characterized in that it contains, based on the total amount of components a) and b),  
from 5 to 99% by weight of component a) and  
from 1 to 95% by weight of component b).

Claim 3 (currently amended): The formulation as claimed in claim 1 ~~or 2~~, in which the component e) is selected from solid carrier substances f) and/or liquid carrier substances g).

Claim 4 (currently amended): The formulation as claimed in ~~one of claims 1 to 3~~ claim 1, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 1500 parts by weight of components c), d) and e).

Claim 5 (currently amended): The formulation as claimed in ~~one of claims 1 to 4~~ claim 1, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 70 parts by weight of component c).

Claim 6 (currently amended): The formulation as claimed in ~~one of claims 1 to 5~~ claim 1, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 10 parts by weight of component d).

Claim 7 (currently amended): The formulation as claimed in ~~one of claims 1 to 6~~ claim 1, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 710 parts by weight of component f).

Claim 8 (currently amended): The formulation as claimed in ~~one of claims 1 to 7~~ claim 1, characterized in that it contains, based on 100 parts by weight of components a) and b), from 0 to 710 parts by weight of component g).

Claim 9 (currently amended): The formulation as claimed in ~~one of claims 1 to 8~~ claim 1, characterized in that component a) is at least one constituent which is selected from the group consisting of: straight-chain, cyclic, branched and partially crosslinked polyorganosiloxanes.

Claim 10 (currently amended): The formulation as claimed in ~~one of claims 1 to 9~~ claim 1, characterized in that the amino- and/or ammonium-polysiloxane compound b2) is a polysiloxane compound which contains amino and/or ammonium groups in the pendent groups of a polyorganosiloxane main chain.

Claim 11 (currently amended): The formulation as claimed in ~~one of claims 1 to 40~~ claim 1, characterized in that the silicone-free surfactant as component c) is at least one constituent which is selected from nonpolymerized, organic, quaternary ammonium compounds.

Claim 12 (currently amended): The formulation as claimed in ~~one of claims 1 to 44~~ claim 1, characterized in that the coacervate phase formation agent as component d) comprises at least one constituent which is selected from cationic, silicone-free polymer compounds.

Claim 13 (currently amended): The formulation as claimed in ~~one of claims 3 to 42~~ claim 3, characterized in that the solid carrier substance f) is at least one constituent which is selected from the group of the water-soluble compounds which have a solubility in water of at least 100 grams/liter at 20°C.

Claim 14 (currently amended): The formulation as claimed in ~~one of claims 3 to 43~~ claim 3, characterized in that the liquid carrier substance g) is at least one constituent which is selected from the group consisting of water and water-miscible organic solvents.

Claim 15 (currently amended): The formulation as claimed in ~~one of claims 1 to 44~~ claim 1, characterized in that it is solid or liquid at 40°C.

Claim 16 (currently amended): A process for preparing the formulation as



claimed in ~~one of claims 1 to 15~~ claim 1, which comprises the steps of:

- a) mixing components a) and b) to give a homogeneous premixture, and
- b) optionally introducing components c), d) and/or e).

Claim 17 (currently amended): The use of the formulation as claimed in ~~one of claims 1 to 15~~ claim 1 in cosmetic formulations, in laundry detergents or for the surface treatment of substrates.

Claim 18 (currently amended): The use of the formulation as claimed in ~~one of claims 1 to 15 and 17~~ claim 1 for fiber treatment or fiber finishing.

Claim 19 (currently amended): The use of the formulation as claimed in ~~one of claims 1 to 15, 17 and 18~~ claim 1 as a formulation for the treatment of textiles and other natural and synthetic fiberlike materials including paper.

Claim 20 (currently amended): The use of the formulation as claimed in ~~one of claims 1 to 15, 17, 18 and 19~~ claim 1 as a softener.

Claim 21 (canceled)